

PROC 1 FAQs

1. Regarding situations where a member of one Defense Intelligence Component (DIC) is granted access to another Intelligence Community (IC) element's database solely to conduct queries and download information (i.e. a dissemination), do the shared repository provisions in Procedure 1 apply; or do the provisions in Procedure 1 only apply to the use of a repository (i.e. database/cloud) in which the participants (and likely hosts) placed their information. The context of the use of the term throughout the manual contemplates storing information within a shared repository and the responsibilities of hosts and participants on handling the stored information. Interpreting the definition of shared repositories as the mere granting of access could cause confusion within the IC and in the oversight of DIC data retention and dissemination activities.

Answer: "Participating" in a shared repository means either providing data to the database or accessing the database to examine its contents. Once a DIC provides access of its data repository to another entity, that repository becomes a shared repository as that term is used in DoDM 5240.01, paragraph 3.1.b, if the shared repository contains or may contain U.S. person information (USPI).

2. What is the expectation or mechanics of fulfilling the last sentence of Para 3.1b (2) Shared Repositories which states:

“Each participant in a shared repository must inform the host Component in writing that its participation complies with all law, policies, and procedures applicable to the protection of USPI.”

Answer: The host of a shared repository must have in its possession a written document (any format is acceptable) from every component authorized to participate in the shared repository stating that the participant is compliant with all laws, policies, and procedures that apply to the protection of U.S. person information. Such laws, policies, and procedures would include DoDM 5240.01.

3. DoDM 5240.01 lists individuals who are a "Defense Intelligence Component head," including: senior officials designated by the Secretary of a Military Department for the foreign intelligence and CI elements of that Department and senior officials designated by the USD(I) for other Defense Intelligence Components. Must these designations be specific for this purpose or can they be implied.

Answer: The Secretary of a Military Department or the USD(I) must designate, in writing, any additional individuals who will exercise the authorities assigned to Defense Intelligence Component heads in DoD 5240.01. The linkage to DoDM 5240.01 should be clearly stated.